

Division of Animal Industry
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Domestic Animal Health Permit Equine Procedures

The purpose of this document is to provide compliance guidance to New York permitted horse dealers. Dept. laws and regulations require dealers to record the details of all transactions to allow for tracing to the point of origin and disposition.

Record Books

- The Dept. supplies an equine record book to all horse dealers.
- Dealers are required to use the Dept. record book unless permission is given to utilize their own record system. When such permission is given it will be noted on the inspection report. If computerized records are utilized they must be backed up regularly. (1 NYCRR Parts 61.4, 61.5)
- Dept. record books are the property of the state and must be presented upon demand. (1NYCRR Part 61.6).
- Loss or theft of the record book must be immediately reported to the Department.

Contents of Records (1NYCRR Part 61.2)

- Name and address of source and disposition
- Transaction dates
- Breed, sex, color, markings, brands and tattoos and approximate age
- EIA test results including date, name of lab and accession number
- Record of purchase and disposition must be entered at time of transaction.

Purchases from Private Parties

- Horses purchased by a DAHP holder from a private party can be moved to the DAHP holder's premises without a negative EIA test. Permission is based on a policy determination that such movements will facilitate commerce.
- Horses purchased and moved without negative EIA test must:
 - be moved to a horse auction market within 7 days **or**
 - be moved to an approved slaughter buyer within 7 days **or**
 - be tested for EIA within 7 days

NOTE: All horses sold by a DAHP holder to a private party must be test negative for EIA within the past 12 months and be accompanied by a negative EIA test report. **NO EXCEPTIONS** (1NYCRR Part 64.8.a)

Purchases at Markets / Auctions

- DAHP holders purchasing green back tagged horses at a market or auction are **not** exempt from the EIA testing requirement at the market. (1NYCRR Part 64.9.a) The

exception is approved slaughter buyers or their agents (see approved slaughter buyers section).

- Green tagged horses cannot be sold to a private party by a DAHP holder before receiving a negative EIA test chart. (1NYCRR Part 64.8.a)
- Green tagged horses with pending results can be sold to an approved slaughter buyer.
- Markets / auctions may, at their discretion, require that all horses presented under the auspices of their sale, be tested for EIA.

Approved Slaughter Buyers

- Allowed to purchase green tagged horses without test.
- Must file an application (AI-21) with the Dept. that includes a letter from a horse slaughterhouse documenting they have a formal relationship to supply horses to the slaughterhouse. A current documentation letter from the slaughterhouse must be filed with each 2 year DAHP renewal application.
- All agents representing the approved slaughter buyer must be reported on the DAHP application.
- All purchases must be in the name of the slaughter buyer or their documented agent in the sale records.
- All conditions of the AI-21 must be followed or the status will be removed.
- The Dept. will maintain a list of approved slaughter buyers and their agents.
- The approved slaughter buyer will be responsible for providing the markets / auctions with a copy of their DAHP license which lists their representing agents. This document should be available for inspection, on request, by NYSDAM / USDA representative at the time of the sale.

Horses Imported into NY

- Must be accompanied by either:
 - a certificate of veterinary inspection and a negative EIA test chart conducted within 12 months of importation (1 NYCRR Part 64.1.2) **or**
 - An owner shipper statement for horses moved to a NY market / auction without a negative EIA test chart. (9CFR Part 88.4.a)

Horses Purchased for Personal Use

- DAHP holder must record all horses purchased for personal use in the Equine Record Book (1 NYCRR Part 61.1) at the time of purchase.
- Indicate in the remarks section in the Equine Record Book that the horse is for personal use.
- Horse must be test negative for EIA (1NYCRR Part 64.8.a) at time of purchase; or within 7 days of such purchase date.
- Sale information must be entered at the time the horse is sold. (1 NYCRR Part 61.1)