

Division of Animal Industry
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Equine Market / Auction Procedures

The purpose of this document is to provide compliance guidance to New York livestock markets and auctions handling horses. Operators of markets and auctions are required to have a DAHP and comply with Dept. laws and regulations.

Note: The Dept. allows a DAHP holder to conduct one horse sale per year without meeting the livestock market regulations. More frequent sales at a specific location will require all livestock market requirements to be met. Contact the office if you need livestock market approval.

NYSDAM law does not prohibit the auction/DAHP holder from imposing sale requirement above and beyond that required by NYS. Livestock markets/auctions/DAHP holders, at their discretion, may require that all horses have a negative EIA test prior to them allowing such horse entry into a particular event or sale which they manage, sponsor or are otherwise involved.

Sales Limited to Nonslaughter Horses

- There are no special requirements for sales that limit participation to NY origin horses test negative for EIA accompanied by a negative test chart issued within the past 12 months. (1NYCRR Part 64.8)
- Imported horses must have a valid negative EIA test AND also must be accompanied by a valid certificate of veterinary inspection (CVI). (1NYCRR Parts 64.4, 64.1)
- Imported horses which do not have a valid negative EIA test and CVI cannot be accepted for sale.

Sales Accepting Horses for Slaughter

- Must enter into an agreement with the Dept. by submitting the AI-20 form.
- Must apply a green slaughter backtag to the left hip of all horses that arrive without negative EIA test chart. (1NYCRR Part 64.9.a)
- Must apply a green slaughter backtag to all out of state horses that arrive without a CVI (even if accompanied by a negative EIA test chart). (1NYCRR Part 64.5)

Sale of Greentagged Horses to Private Buyer

- Greentagged horses can be sold to private buyers if they are sampled for EIA test prior to leaving the market. (1NYCRR Part 64.9.a)
- EIA test must be in the buyer's name.
- The backtag must remain on the horse until the test results are received. (1NYCRR Part 64.9.a)
- Horses purchased by DAHP holders (other than approved slaughter buyers) must be tested. (1NYCRR Part 64.8.a).

- Photocopies of the test charts or a signed statement of the veterinarian listing the tested horses by green tag number must be kept on file by the market and the DAHP holder.

Sale of Greentagged Horses to Approved Slaughter Buyer

- No EIA test is required.
- Sale is to approved slaughter buyers or their designated agents only.
- Dept. maintains a list of approved slaughter buyers and their agents.
- The approved slaughter buyer will be responsible for providing the market / auctions with a copy of their DAHP license which lists their representing agents. This document should be available for inspection, on request, by NYSDAM/USDA representative at the time of the sale.