

## APPLICATION FOR REFRIGERATED WAREHOUSE/LOCKER PLANT/ FRESH FRUIT AND/OR VEGETABLE STORAGE FACILITY

NYS Department of Agriculture and Markets - Article 19

<i>Office Use Only</i>									
County Code- Est. No.									
Entity No. _____									
Receipt No. _____									
Certificate No. _____									

**I am applying for (you must choose one):**

- Refrigerated Warehouse and/or Locker Plant  
 Fresh Fruit and/or Vegetable Storage Facility

**INSTRUCTIONS**

Read and complete both sides of this application.

Prepare a separate application for each location.

An original signature of owner or corporate officer is required in Section (12).

(1) Individual Owner Name, Partnership or Full Name of the Corporation:			County:	
Trade Name:			Business Telephone Number: (    )	
Street:	City:	State:	Zip:	
E-mail Address:	Bank Name:			

**(2) Optional Mailing Address:**

Street:	City:	State:	Zip:
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**(3) Identification Number:**

Federal ID Number:	<b><u>OR</u></b>	Social Security Number:
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**(4) Please list sole proprietors and all officers of a corporation or cooperative. If applicant is a partnership, LLC or LLP, list partners/members (attach list if necessary). If applicant is a non-public corporation, list shareholders (attach list if necessary).**

Name (Please Print)	Title	Work Address (Street & No., City, State, Zip) E-Mail address	Date of Birth

**(4a.)** Principal Office Address: \_\_\_\_\_

**(4b.)** In what state incorporated? \_\_\_\_\_ **(4c.)** Date of Incorporation \_\_\_\_\_

**(4d.)** Are you a foreign or out of state individual, partnership, or corporation? (Check One)      Yes       No

**(4e.)** For foreign or out-of-state corporations:  
Date of filing in New York State? \_\_\_\_\_

**(4f.)** If out-of-state, the applicant agrees to accept service of process by first class mail to the designated individual at the said address below which shall constitute good and proper service of process.

Designated: \_\_\_\_\_ Address: \_\_\_\_\_

**(PLEASE COMPLETE REVERSE SIDE)**

(5) State experience which qualifies the applicant (or its officers, if a corporation) to conduct this business, giving names of firms previously associated with \_\_\_\_\_

(6) Has the applicant or any partner, officer, director or stockholder been convicted of, or pleaded guilty to, a **felony** in any court in the United States?

No

Yes If yes, state the full name of the person \_\_\_\_\_

Name of Court and its location? \_\_\_\_\_

Date of Conviction? \_\_\_\_\_ A "Certificate of Conviction" is required. If a "Certificate of Conviction" has been provided and a license issued on a prior application, check this box.

(7) Does the applicant or an officer, director, partner or any stockholder exercising any position of management or control have any unsatisfied legal judgments outstanding?  Yes  No If yes, explain and specify the amount \_\_\_\_\_

(8) Has the applicant or an officer, director, partner or any stockholder exercising any position of management or control ever been adjudged bankrupt?  Yes  No If yes, explain \_\_\_\_\_

**Note: This license is not required if no space is offered for rent**

(9) State rental capacity of refrigerated warehouse and/or locker plant in cubic feet \_\_\_\_\_ and temperature to be maintained \_\_\_\_\_

(10) State the kind of foods to be stored \_\_\_\_\_

(11)  Facility is used entirely for commercial rental and/or locker storage.

Applicant has access to all lockers.

Individual lockers are offered for rent.

Facility is used for both commercial rental and foods owned by the applicant.

The individual lockers are maintained by the applicant.

Applicant represents that there are adequate physical facilities and equipment to maintain adequate sanitation for the activities conducted and that the establishment will be maintained in a clean and sanitary condition and operated in a sanitary manner and that the maintenance and operation of the establishment will be such that the product held or stored therein will not be adulterated, and that the premises are operated in accordance with Articles 17 and 19 of the Agriculture and Markets Law and Part 270 of the regulations

Applicant consents to free entry and will permit free access to the licensed premises, buildings and office of the Commissioner, the Commissioner's agents and inspectors in pursuance of the Commissioner's duties to supervise and regulate the production, storage, sale and use of articles subject to the Commissioner's jurisdiction.

Applicant understands the statements made in this application will be accepted, for all purposes, as the equivalent of an Affidavit.

Any false statements made, in addition to being the possible basis for a revocation of any license issued as a result of this application, may be punishable under the provisions of Section 210.45 of the Penal Law of the State of New York.

(12) ORIGINAL SIGNATURE OF OWNER, PARTNER OR CORPORATE OFFICER	TITLE	DATE

**AUTHORIZATION AND PURPOSE**

Disclosure of your federal social security and federal employer identification number is mandatory and is authorized by Section 5 of the New York State Tax Law. This information is collected to enable the Department of Taxation and Finance to identify individuals, businesses and others who have been delinquent in filing tax returns or may have understated their tax liability and to generally identify persons affected by the Tax Law administered by the Commissioner of Taxation and Finance administering the Tax Law and for any other purpose authorized by the Tax Law.

The authority to solicit the information requested above is found in Section 16 of the Agriculture and Markets Law in the sections relating to the specific license you are seeking. This information is collected to enable the Department to evaluate your application, to determine if it should be issued and to assist in the enforcement and administration of the Agriculture and Markets Law.

**All fields must be completed.** Incomplete applications may not be processed. If you have questions about the information requested, call (518) 485-5326; or write to: Department of Agriculture and Markets; FSI-Licensing Unit; 10B Airline Drive; Albany, NY 12235.